

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))*

Attorney Docket No.

**KIR95-01A**

First Named Inventor or  
Application Identifier

**Yuti Chernajovsky**

Express Mail Label No.

**EL192626414US**

Title of  
Invention

**Small Molecular Weight TNF Receptor Multimeric Molecule**

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**

1. ☐ Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2. ☒ Specification [Total Pages **24**]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to microfiche Appendix
  - Background of the Invention
  - Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **5**]  
\_\_\_\_\_ Formal ☒ Informal
4. ☒ Oath or Declaration/POA [Total Pages **6**]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
[NOTE Box 5 below]
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference *(useable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy  
of the oath or declaration is supplied under Box 4b, is considered  
as being part of the disclosure of the accompanying application  
and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program *(Appendix)*
7. ☒ Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a. ☒ Computer Readable Copy
  - b. ☒ Paper Copy (identical to computer copy)
  - c. ☒ Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents)
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (2)  
*(Should be specifically itemized)*
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,  
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☐ Other: .....

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **08/ 437,533**

Prior application information: Examiner: **D.L. Fitzgerald** Group Art Unit: **1646**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yuti Chernajovsky, Richard Neve and Marc Feldmann

Continuation Application of

Application No.: 08/437,533

Filed: May 9, 1995

For: Small Molecular Weight TNF Receptor Multimeric Molecule

<p>Date: <u>April 2, 1999</u></p> <p>EXPRESS MAIL LABEL NO. <u>EL192626 414US</u></p>
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PRELIMINARY AMENDMENT

Box PATENT APPLICATION

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

The above-captioned application is a continuation of application number 08/437,533 filed on May 9, 1995 to which priority is claimed under 35 U.S.C. 120.

The specification has been revised to incorporate a related applications paragraph as the first paragraph in the specification. No new matter has been added.

Please amend the referenced application as follows:

In the Claims

Please cancel Claims 4, 5, 7, 9-13, and 18. Please amend Claims 1, 2, 6, 8, 15, 16, 17, 19 and 20 as follows:

1. (Amended) A receptor molecule which binds to tumor necrosis factor comprising all or a functional portion of two [or more] extracellular domains of tumor necrosis factor

receptors linked [via one or more] to a polypeptide linker[s], wherein said polypeptide linker is covalently bonded to said extracellular domains via peptide bonds.

2. (Amended) The receptor molecule of Claim 1 wherein the [receptors] extracellular domains are selected from the group consisting of: the extracellular domain of a p75 tumor necrosis factor receptor and the extracellular domain of a p55 tumor necrosis factor receptor or functional portions thereof.
6. (Amended) The receptor molecule of Claim [5] 2 wherein the [two or more] extracellular domains of the tumor necrosis factor receptors are the same.
8. (Amended) Isolated DNA encoding a receptor molecule according to Claim 1. [which binds to tumor necrosis factor, comprising two or more sequences encoding all or a functional portion of the extracellular domain of tumor necrosis factor receptors linked via one or more sequences encoding a polypeptide linker.]
15. (Amended) A method of making a construct which expresses all or a functional portion of the extracellular domain of two or more tumor necrosis factor receptors linked [via] [one or more] to a polypeptide linker[s] comprising the steps of:
  - a) obtaining a first vector which expresses all or a functional portion of an extracellular domain of a first tumor necrosis factor receptor and a signal peptide of a secreted protein;
  - b) obtaining a second vector which expresses all or a functional portion of an extracellular domain of a second tumor necrosis factor receptor; and
  - c) ligating the first vector of (a) to the second vector of (b) [via] using a coding sequence for a polypeptide linkerso that the first vector of (a) is linked to the second vector of (b) [via] using the coding sequence for the polypeptide linker resulting in a construct which expresses all or a functional portion of the extracellular domain of the first tumor necrosis factor receptor and all or a portion of the extracellular domain of the second tumor necrosis factor receptor linked [via] using the polypeptide linker.

16. (Amended) The method of Claim 15 further comprising the steps of:
- a) obtaining a first vector which codes for all or a functional portion of an extracellular domain of a first tumor necrosis factor receptor and signal peptide of a secreted protein linked to all or a functional portion of an extracellular domain of a second tumor necrosis factor receptor using a coding sequence for a polypeptide linker;
  - b) obtaining [one or more] a second vector[s] which [expresses] codes for [a second polypeptide linker and] all or a functional portion of an extracellular domain of a third tumor necrosis factor receptor ; and [, wherein the extracellular domain of the third tumor necrosis factor receptor is linked to the extracellular domain of the second tumor necrosis factor receptor via the second polypeptide linker.]
  - c) ligating the first vector of (a) to the second vector of (b) using a coding sequence for a polypeptide linker so that the first vector of (a) is linked to the second vector of (b) using the coding sequence for a polypeptide linker resulting in a construct which expresses all or a functional portion of the extracellular domain of the first tumor necrosis factor receptor and all or a portion of the extracellular domain of the second tumor necrosis factor receptor and all or a portion of the extracellular domain of the third tumor necrosis factor receptor all being linked using the first and second polypeptide linker.
17. (Amended) Cells which express a receptor molecule according to Claim 1.[which binds to tumor necrosis factor comprising all or a functional portion of the extracellular domain of two or more tumor necrosis factor receptors linked via one or more polypeptide linkers.]
19. (Amended) A method of inhibiting the biological activity of tumor necrosis factor comprising administering to a host [an effective] a TNF-inhibiting amount of a receptor molecule according to Claim 1. [which binds to tumor necrosis factor, the receptor comprising all or a functional portion of the extracellular domain of two or more tumor necrosis factor receptors linked via one or more polypeptide linkers.]

20. (Amended) A method of treating or preventing a tumor necrosis factor related disease in a host in need thereof comprising administering to the host [an effective] a TNF-inhibiting amount of a receptor molecule according to Claim 1. [which binds to tumor necrosis factor, the receptor comprising all or a functional portion of the extracellular domain of two or more tumor necrosis factor receptors linked via one or more polypeptide linkers.]

Please add the following claims:

24. Isolated DNA encoding a receptor molecule according to Claim 2.
25. Isolated DNA encoding a receptor molecule according to Claim 3.
26. Isolated DNA encoding a receptor molecule according to Claim 6.

#### REMARKS

Claims 4, 5, 7, 9-13, and 18 have been canceled and Claims 24-26 have been added.

Claim 1 has been amended in order to define precisely the chemical nature of the linkage between extracellular domains of tumor necrosis factor receptors and the polypeptide linker, the chemical nature being a peptide bond (support may be found in the Specification on page 8, lines 29-31). Claim 2 has been amended to specifically point out that it is the extracellular domains of the tumor necrosis factor receptor described in the claim that are being utilized in the instant invention (Specification, page 6, lines 8-10). Claim 6 has been amended such that the antecedent basis for the claim is found in Claim 2 (Specification, page 6, line 14). Claim 8 has been amended in order to specify that the isolated DNA is based upon the subject matter of Claim 1 (Specification, pages 2-3, lines 32-2 and page 5, lines 26-30). Claim 15 has been amended such that precise and accurate terminology is employed as to provide overall consistency throughout the claim. Also, the stated preamble of Claim 15 can now be effectuated by the process steps defined in the claim (Specification, page 2, lines 20-23 and page 8-9, lines 32-8). Claim 16 has been amended in order to provide proper sequence of steps for vector construction and subsequent expression. Additionally, amended Claim 16 provides a functional association between the elements stated therein (Specification, page 2, lines 24-26, 28-31 and page 9, lines

8-13). Claim 17 has been amended in order to define the subject matter of the claim in accordance with base Claim 1 (Specification, page 3, lines 23-26 and page 9, lines 14-20). Claims 19 and 20 have been amended in order to clearly indicate that which needs to be administered in order to produce the desired effect articulated in the respective claims (Specification, pages 3-4, lines 27-2 and pages 9-10, lines 34-4, 17-21). Claim 24 concerns the isolation of DNA encoding the subject matter of Claim 2 (Specification, pages 2-3, lines 32-2 and page 5, lines 26-30, in conjunction with page 2, lines 26-28 and page 6, lines 8-10). Claim 25 concerns the isolation of DNA encoding the subject matter of Claim 3 (Specification, page 2-3, lines 32-2 and page 5, lines 26-30, in conjunction with page 2, lines 28-31 and page 8, lines 3-18 and 27-29). Claim 26 concerns the isolation of DNA encoding the subject matter in Claim 6 (Specification, pages 2-3, lines 32-3 and page 5, lines 26-30, in conjunction with page 6, line 14).

The amended claims serve to further define that which the Applicants believe to be their invention. No new matter has been introduced as a result of amending Claims 1, 2, 6, 8, 15, 16, 17, 19, and 20 or by the addition of newly presented Claims 24-26.

Respectfully submitted,

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Inventor(s): Yuti Chernajovsky, Richard Neve and Marc Feldmann

Attorney's Docket No.: KIR95-01A

### Small Molecular Weight TNF Receptor Multimeric Molecule

#### RELATED APPLICATION(S)

This application is a Continuation of 08/437,533 filed May 9, 1995, the entire teachings of which are incorporated herein by reference.

#### 5 BACKGROUND OF THE INVENTION

Tumor Necrosis Factor, a pleiotropic cytokine released by activated T cells and macrophages, is expressed as a mature 17 kDa protein that is active as a trimer (Smith, R.A. and Baglioni, C., *J. Biol. Chem.*, 262:6951 (1986). Trimeric cytokines such as Tumor Necrosis Factor (TNF $\alpha$ ) and the closely related protein lymphotoxin (TNF $\beta$ ),  
10 exert their biological activity by aggregating their cell surface receptors. The TNF trimer binds the receptors on the cell surface causing localized crosslinking of TNF receptors into clusters necessary for signal transduction.

The action of TNF $\alpha$  and TNF $\beta$  are mediated through two cell surface receptors, the 55 kDa (p55 TNF-R) and the 75 kDa (p75 TNF-R) receptors. Truncated forms of  
15 these receptors, comprising the extracellular domains (ECD) of the receptors, have been detected in the urine and serum as 30 kDa and 40 kDa TNF inhibitory binding proteins (Engelmann, H., *et al.*, *J. Biol. Chem.*, 265:1531 (1990)).

TNF is a key mediator in a number of autoimmune and inflammatory diseases such as rheumatoid arthritis, septic shock, cerebral malaria and multiple sclerosis  
20 (reviewed in Tracy, K.J. and Cerami, A., *Ann. Rev. Cell. Biol.*, 9:317 (1993)).

Antagonistic TNF treatment with anti-TNF antibodies and dimeric TNF-receptor-IgG

fusion chimeras have shown promising therapeutic results for a variety of diseases in animal models (Lesslauer, W., *et al.*, *Eur. J. Immunol.*, 21:2883 (1991); Kolls, J., *et al.*, *Proc. Natl. Acad. Sci. USA*, 91:215 (1994); Baker, D., *et al.*, *Eur. J. Immunol.*, 24:2040 (1994); Williams, R.O., *et al.*, *Proc. Natl. Acad. Sci. USA*, 89:9784 (1993)) and human  
5 clinical trials (Elliot, M., *et al.*, *Arthritis and Rheum.*, 36:1681 (1993)).

For example, it has been shown that the IgG-Hu p75 TNF-R ECD dimers have a 100-4000 fold higher affinity for TNF over the monomeric counterparts (Lesslauer, W., *et al.*, *Eur. J. Immunol.*, 21:2883 (1991); Kolls, J., *et al.*, *Proc. Natl. Acad. Sci. USA*, 91:215 (1994); Butler, D., *et al.*, *Cytokine*, 6:616 (1994)). However, these molecules  
10 are large in size, immunogenic and include the Fc portion of the IgG which may interfere with clearance by binding to Fc receptors.

Thus, a need exists for improved TNF inhibitors which are less immunogenic and allow for faster clearance and greater tissue penetration when administered to a host.

## 15 SUMMARY OF THE INVENTION

The present invention is based on the discovery that a small molecular weight protein or tumor necrosis factor receptor (TNF-R), built from two or more TNF-R monomers linked via one or more polypeptide bridges or linkers, is active in inhibiting the biological activity of tumor necrosis factor (TNF). In one embodiment the invention  
20 relates to a receptor molecule which binds to TNF comprising all or a functional portion of the extracellular domain (ECD) of two TNF-Rs linked via a polypeptide linker. In another embodiment, the invention relates to a receptor molecule which binds to TNF comprising three TNF-Rs linked via two polypeptide linkers. The receptor molecule can include the ECDs of two or more p75 TNF-Rs or the ECDs of two or more p55  
25 TNF-R. The receptor can further comprise a signal peptide of a secreted protein, such as the signal peptide of the extracellular domain of the TNF-R or the signal peptide of a cytokine.



In another embodiment the invention relates to isolated DNA encoding a protein or receptor molecule which binds to TNF, comprising two or more sequences encoding all or a functional portion of the ECD of TNF-Rs linked via one or more sequences encoding a polypeptide linker.

5           The invention further relates to a method of making a construct which expresses all or a functional portion of the ECD of two or more TNF-Rs linked via one or more polypeptide linkers comprising the steps of: a) obtaining a first vector which expresses all or a functional portion of the ECD of a first TNF-R and a signal peptide of a secreted protein; b) obtaining a second vector which expresses all or a functional portion of an  
10   ECD of a second TNF-R; and c) ligating the first vector of (a) with the second vector of (b) via a polypeptide linker. Thus, the first vector of (a) is linked to the second vector of (b) via the polypeptide linker resulting in a construct which expresses all or a functional portion of the ECD of the first TNF-R and all or a portion of the ECD of the second TNF-R linked via a polypeptide linker. The method of making a construct can further  
15   comprise one or more vectors which express a second polypeptide linker and all or a functional portion of an ECD of a third TNF-R wherein the ECD of the third TNF-R is linked to the ECD of the second TNF-R via the second polypeptide linker.

          The present invention also relates to cells which express a construct which expresses all or a functional portion of the ECD of two or more TNF-Rs linked via one  
20   or more polypeptide linkers.

          In another embodiment the invention relates to a method of inhibiting the biological activity of TNF in a host comprising administering to the host an effective amount of a receptor molecule which binds to TNF, the receptor comprising all or a functional portion of the ECD of two or more TNF-Rs linked via one or more  
25   polypeptide linkers. The invention can further be used in a method of treating a host for a TNF related disease comprising administering an effective amount of the receptor molecule of the present invention to a host.

The present invention also relates to protein or receptor molecules which bind cytokines that bind to receptor molecules comprising more than one subunit (e.g., IL-2 and IL-6 bind to an  $\alpha$  or  $\beta$  receptor protein). The ECD of such receptors linked by a polypeptide linker have higher affinity for the cytokine, and, are effective inhibitors of the biological activity of the cytokine. Thus, the receptor comprises all or a functional portion of the ECD of two or more cytokine receptors linked via one or more polypeptide linkers. Furthermore, the receptor is less immunogenic, allows faster clearance and greater tissue penetration in the host upon administration than recombinant immunoglobulin molecules.

## 10 BRIEF DESCRIPTION OF THE FIGURES

Figure 1 is a drawing which illustrates the different stages of cloning used to obtain the Hu p75 TNF-R ECD dimer.

Figure 2 is a drawing which illustrates the cloning of the Hu p75 TNF-R ECD dimer into the retroviral vector pBabe Neo used to obtain the plasmid Oscar.

15 Figure 3 is the expected DNA sequence (SEQ ID NO: 1) and protein sequence (SEQ ID NO: 2) of the Hu p75 TNF-R ECD dimer in which the signal peptide is underlined, the polyglycine linker is boxed, and the putative N-linked glycosylation sites are indicated by a single bar.

Figure 4 is a photograph of a Western blot of the soluble Hu p75 TNF-R ECD dimer.

Figure 5A is a graph of pg/ml TNF versus % cell death illustrating the standard TNF cytotoxic curve from 0.2 pg/ml to 500 pg/ml.

Figure 5B is a graph of dilution versus % protection of the monomeric Hu p75 TNF-R ECD CRIP supernatant (at 3.35 ng/ml) diluted 1:4 to 1:32 incubated with 62.5  
25 pg/ml TNF.

Figure 5C is a graph of dilution versus % protection of the dimeric p75 sf2 protein (at 2.3 ng/ml) diluted 1:4 to 1:128 with 167 pg/ml human TNF.

Figure 5D is a graph of dilution versus % protection of two fold dilutions of concentrated supernatant from Oscar transfected cells (at 0.31 ng/ml) diluted from 1:4 to 1:256 incubated with 62.5 pg/ml TNF (samples were incubated for 1 hour at 37°C and then applied in triplicate to WEHI cells as described by Butler *et al.*, Cytokine, 6:616 (1994).

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention is based on the discovery of an efficient small molecular weight tumor necrosis factor/lymphotoxin antagonist which is active in inhibiting the biological activity of tumor necrosis factor (TNF). The present invention relates to a receptor molecule which binds to TNF comprising all or a functional portion of the extracellular domain (ECD) of two or more tumor necrosis factor receptors (TNF-Rs) linked via one or more polypeptide linkers. For example, the receptor molecule can comprise the ECDs of two TNF-Rs linked via a polypeptide linker to produce a dimeric TNF-R, as described in Example 1, or the ECDs of three TNF-Rs linked via two polypeptide linkers resulting in a trimeric TNF-R.

The invention also includes isolated DNA encoding a receptor which binds to TNF, comprising two or more sequences encoding all or a functional portion of the ECD of TNF-Rs linked via one or more sequences encoding a polypeptide linker. In a particular embodiment, the isolated DNA of the present invention is the sequence of Figure 3 (SEQ ID No: 1).

As described in Example 1, in the embodiment in which the ECDs of two TNF-Rs are linked via a polypeptide linker, a small molecular weight TNF-R dimer was produced using two TNF-R monomers linked via a 15 amino acid polyglycine-serine bridge and is active in inhibiting the biological activity of TNF. As described in Example 2, this 59 kDa protein has four potential N glycosylation sites, is recognized in

western blots and in the enzyme-linked immunosorbent assay with monoclonal antibodies against the p75 TNF-R.

Although the present invention is exemplified using the ECD from human p75 TNF-R, other ECDs from TNF-Rs can be used, such as the ECD from the p55 TNF-R. Also, functional fragments or portions of the ECD or derivatives thereof (including site mutations such as one or more amino acid deletions, additions and substitutions) are encompassed. The two or more ECDs can also be the same or different. Thus, the receptor molecule of the present invention is capable of binding tumor necrosis factor (TNF $\alpha$ ) and lymphotoxin (TNF $\beta$ ) and the biological activities of TNF $\alpha$  and TNF $\beta$  can be inhibited using the receptor molecule of the present invention.

The ECD of the TNF receptors can be derived from a suitable source for use in the present invention. For example, the ECD of the TNF-Rs can be purified from natural sources (e.g., mammalian, more particularly, human), produced by chemical synthesis or produced by recombinant DNA techniques as described in Example 1. In addition, the present invention includes nucleic acid sequences which encode the ECD of a TNF-R, as well as RNAs encoded by such nucleic acid sequences. As used herein, the ECD of the TNF-R refers to fragments and functional equivalents of the ECD of the TNF-R.

The terms "functional portion, fragment or derivative" refer to the portion of the ECD of the TNF-R protein, or the portion of the TNF-R sequence which encodes the ECD of TNF-R protein, that is of sufficient size and sequences to have the desired function (i.e., the ability to bind TNF) (PCT/GB91/01826; WO 9207076). Functional equivalents or derivatives of the ECD of TNF-R include a modified ECD of the TNF-R protein such that the resulting ECD of the TNF-R has the same or similar binding activity for TNF as the natural or endogenous TNF-R ECD, and/or nucleic acid sequences which, for example, through the degeneracy of the genetic code encode the same peptide gene product as the ECD of TNF-R and/or have the same TNF binding activity as described herein. For example, a functional equivalent of the ECD of the

TNF-R can contain a "SILENT" codon or one or more amino acid substitutions, deletions or additions (e.g., substitution of one acidic amino acid for another acidic amino acid; or substitution of one codon encoding the same or different hydrophobic amino acid for another codon encoding a hydrophobic amino acid). See Ausubel, F.M. 5 *et al.*, *Current Protocols in Molecular Biology*, Greene Publishing Assoc. and Wiley-Interscience 1989.

The polypeptide linker preferably includes suitable polypeptide linkers which link or ligate the TNF-Rs of the present invention so as to facilitate the highest binding affinity of the TNF trimer to the ECDs of the receptor molecule described herein. That 10 is, the polypeptide linker of the present invention is of a length and composition which allows binding of the TNF trimer to the receptor of the present invention to occur to its greatest extent. Thus, preferred polypeptide linkers provide minimal steric hindrance to binding of TNF to the receptor molecule (e.g., glycine preferred), minimal immunological reaction and maximal solubility of the receptor molecule. The 15 polypeptide linker can be from about 10 to about 30 amino acids in length, preferably between about 10 to about 20 amino acids. In one embodiment, the polypeptide linker is about 15 amino acids in length, as described in Example 1. In addition, the composition of the polypeptide linker can be for example, a polyglycine-serine linker, a polyglycine-leucine linker, polyglycine-alanine linker and a polyglycine-threonine 20 linker.

The receptor molecule of the present invention can further comprise a signal peptide of a secreted protein to direct expression of the receptor of the present invention. A suitable signal peptide of the present invention includes the signal peptide of the ECD of the TNF-R or the signal peptide of a cytokine. Functional equivalents of the signal 25 peptides of the present invention are also encompassed by the present invention. Functional equivalents of the signal peptide include a modified signal peptide of a secreted protein such that the resulting signal peptide has the same secretion activity as the non-modified signal peptide. Functional equivalents also include nucleic acid

sequences which through the degeneracy of the genetic code encode the same signal peptide as known signal peptides of secreted proteins and have a similar secretion activity.

Thus, the order of the components of the receptor described herein can be: all or  
5 a functional portion of a first ECD of a TNF-R, a first polypeptide linker, and all or a functional portion of a second ECD of a TNF-R in one embodiment. In another embodiment the order of components can be: all or a functional portion of a first ECD of a TNF-R, a first polypeptide linker, all or a functional portion of a second ECD of a TNF-R, a second polypeptide linker, and all or a portion of a third ECD of a TNF-R. In  
10 addition, in either embodiment, the order of components can begin with a signal peptide. The receptor molecule links the components through peptide bonds and is preferably the result of a single recombinant expression unit.

The invention further relates to a method of making a construct which expresses all or a function portion of the extracellular domain of two or more TNF-Rs linked via  
15 one or more polypeptide linkers comprising the steps of: a) obtaining a first vector which expresses all or a functional portion of an ECD of a first TNF-R and a signal peptide of a secreted protein; b) obtaining a second vector which expresses all or a functional portion of an ECD of a second TNF-R; and c) ligating the vector of (a) to the vector of (b) via a polypeptide linker resulting in a construct which expresses all or a  
20 functional portion of two TNF-Rs linked via a polypeptide sequence. The method can further comprise one or more vectors which express a second polypeptide linker and all or a functional portion of a third ECD of a TNF-R wherein the third ECD of the TNF-R is linked to the second TNF-R via the second polypeptide linker.

The invention further relates to cells which express a receptor molecule which  
25 binds to tumor necrosis factor comprising all or a functional portion of the extracellular domain of two or more TNF-Rs linked via one or more polypeptide linker. Suitable cells which can be used to express the receptor molecule include yeast, bacterial and mammalian cells.

The present invention relates to receptor molecules which bind cytokines that bind to receptor molecules comprising more than one subunit. The ECD of such receptors linked by a polypeptide linker have high affinity for the cytokine, and, are effective inhibitors of the biological activity of the cytokine. Thus, the receptor

5 comprises all or a functional portion of the ECD of two or more cytokine receptors linked via one or more polypeptide linkers employing the methods described herein. Thus, the ECD of the receptors of the present invention can be used to inhibit the biological activity of cytokines such as IL-1, IL-2, IL-6, GMCSF, IL-3 and IL-5 (Nicola, N.M. and Metcalf, D., *Cell*, 67:1-4 (1991)).

10 The invention further includes a method of inhibiting the biological activity of TNF comprising administering to a host an effective amount of a receptor molecule which binds TNF, the receptor comprising all or a functional portion of the ECD of two or more TNF-Rs linked via one or more polypeptide linkers. Such receptor molecules have utilities for use in research, diagnostic and/or therapeutic methods for diagnosing

15 and/or treating animals or humans having pathologies or conditions associated with TNF. Such pathologies can include generalized or local presence of TNF or related compounds, in amounts and/or concentrations exceeding, or less than, those present in normal, healthy subject, or as related to a pathological condition.

For example, the invention includes a method of treating or preventing in a host

20 a TNF related diseases (e.g., autoimmune diseases, inflammatory diseases bacterial, viral or parasitic infections, malignancies and/or neurodegenerative diseases) comprising administering to a host (such as a human) an effective amount of a receptor molecule which binds TNF, the receptor comprising all or a functional portion of the ECD of two or more TNF-Rs linked via one or more polypeptide linkers. For example,

25 the method can be used to treat a host for rheumatoid arthritis, septic shock, cerebral malaria, inflammatory bowel disease, (e.g. Crohn's disease, ulcerative colitis) multiple sclerosis, allograft rejection, graft vs. host disease, neoplastic pathology (e.g., in chachexis accompanying some malignancies) and endotoxemic responses.

The receptor of the present invention can be administered to a host in a variety of ways. The routes of administration include intradermal, transdermal (e.g., slow release polymers), intramuscular, intraperitoneal, intravenous, subcutaneous, oral, epidural and intranasal routes. Any other convenient route of administration can be used, for example, infusion or bolus injection, or absorption through epithelial or mucocutaneous linings. In addition the receptor of the invention can be administered together with other components or biologically active agents, such as pharmaceutically acceptable surfactants (e.g., glycerides), excipients (e.g., lactose), carriers, diluents and vehicles. If desired, certain sweetening, flavoring and/or coloring agents can also be added. The receptor can be administered prophylactically or therapeutically to a host and can result in protection from amelioration of, or elimination of the TNF-related disease state.

Further the receptor molecule can be administered by *in vivo* expression of a polynucleotide encoding the receptor module. The "administration of protein" by definition includes the delivery of a recombinant host cell which expresses the protein *in vivo*. For example, the receptor molecule can be administered to a host using live vectors, wherein the live vector containing the receptor sequences are administered under conditions in which the receptor molecule is expressed *in vivo*. In addition, a host can be injected with a cDNA or DNA sequence, or a recombinant host cell containing the cDNA or DNA sequence, which encodes and expresses the receptor of the present invention (e.g., *ex vivo* infection of autologous white blood cells for delivery of protein into localized areas of the body, see e.g., United States Patent Number 5,399,346, which is herein incorporated by reference).

Several expression vectors for use in making the constructs described herein and administering the receptor molecule of the present invention to a host are available commercially or can be reproduced according to recombinant DNA and cell culture techniques. For example, vector systems such as retroviral, yeast or vaccinia virus expression systems, or virus vectors can be used in the methods and compositions of the



present invention (Kaufman, R.J., *J. of Method. in Cell. and Molec. Biol.*, 2:221-236 (1990)). Other techniques using naked plasmids or DNA, and cloned genes encapsidated in targets liposomes or in erythrocyte ghosts, can be used to introduce the receptor into the host (Freidman, T., *Science*, 244:1275-1281 (1990); Rabinovich, N.R., *et al.*, *Science*, 265:1401-1404 (1994)). The construction of expression vectors and the transfer of vectors and nucleic acids into various host cells can be accomplished using genetic engineering techniques, as described in manuals like *Molecular Cloning and Current Protocols in Molecular Biology*, which are hereby incorporated by reference, or by using commercially available kits (Sambrook, J., *et al.*, *Molec. Cloning*, Cold Spring Harbor Press (1989); Ausubel, F.M., *et al.*, *Current Protocols in Molecular Biology*, Greene Publishing Assoc. and Wiley-Interscience 1989)).

An "effective amount" is such that when administered, the receptor molecule of the present invention results in inhibition of the biological activity of TNF, relative to the biological activity of TNF when an effective amount of the receptor is not administered. For example, the inhibition of activity can be at least about 50%, or preferably at least about 75% at the disease site. In addition, the amount of receptor administered to a host will vary depending on a variety of factors, including the size, age, body weight, general health, sex, and diet of the host and the time of administration or particular symptoms of the TNF-related disease being treated. Adjustment and manipulation of established dosage ranges are well within the ability of those skilled in the art. In vitro and in vivo methods of determining the inhibition of TNF in a host are well known to those of skill in the art. Such in vitro assays can include a TNF cytotoxicity assay (e.g. the WEHI assay described in Example 1 or a radioimmunoassay, ELISA). In vivo methods can include rodent lethality assays and/or primate pathology model systems (Mathison *et al.*, *J. Clin. Invest.*, 81:1925-1937 (1988); Beutler *et al.*, *Science*, 229:869-871 (1985); Tracey *et al.*, *Nature*, 330:662-664 (1987); Shimamoto *et al.*, *Immunol. Lett.*, 17:311-318 (1988); Silva *et al.*, *J. Infect. Dis.*,

162:421-427 (1990); Opal *et al.*, *J. Infect. Dis.*, 161:1148-1152 (1990); Hinshaw *et al.*, *Circ. Shock*, 30:279-292 (1990)).

The receptor molecule of the present invention preferably is capable of binding TNF with high affinity. That is, the binding affinity of the receptor molecules described herein for TNF approaches or is greater than the binding affinity of endogenous TNF receptors. Preferably the binding affinity of the receptor is such that the receptor binds the TNF homotrimer in a stoichiometric ratio of about 1:1.

As described in Example 3, the specific activity of the TNF/lymphotoxin inhibitor of the present invention is similar to that of a dimeric p75 TNF-R built on an Ig backbone (Butler, D., *et al.*, *Cytokine*, 6:616 (1994)) and it is therefore capable of inhibiting TNF cytotoxicity at a 1:1 molar ratio.

The receptor molecule of the present invention is expected to behave pharmacodynamically as the monomeric TNF-R and be quickly removed from the blood stream via the kidneys (Bemelmans, M.H.A., *et al.*, *Cytokine*, 6:608 (1994); Jacobs, C.A., *et al.*, *Intl. Rev. Exp. Pathol.* 34B:123 (1993)). However, the receptor is expected to have higher penetration to tissues than Ig fusion proteins due to its smaller molecular weight. Preferably, the molecular weight of the receptor molecule of the present invention is about 45 kd to about 130 kd. In addition, the Ig fusion proteins are expected to bind complement to the Fc receptor of a cell surface thereby facilitating development of an immune response. In contrast, the receptors of the present invention, being devoid of an Ig structure, are not expected to be immunogenic.

The invention is further illustrated in the following examples.

## EXEMPLIFICATION

### Example 1: Cloning of the Hu p75 TNF-R ECD Dimer

In order to express a small molecular weight Hu p75 TNF-R ECD dimer, we constructed the retroviral expression vector, Oscar, that was built in a multiple-step

cloning procedure described below. Plasmids were grown using DH5 $\alpha$  competent cells [*supE44 DlacU169 f80 lacZDM15) hsdR17 recA1 endA1 gyrA96 thi-1 relA1*].

#### PCR of Human p75 TNF-R Extracellular Domain

The Hu p75 TNF-R ECD was amplified by PCR from the pVL1393-Hu p75 TNF-R ECD plasmid using primers (1) and (2) shown below. pVL1393-Hu p75 TNF-R ECD (derived from pVL1393, In-Vitrogen) contained the Hu p75 TNF-R ECD from amino acid 1 to 205 with a 3' stop codon. The 5' primer (1) contained a BamHI restriction site. Bases 7 to 30 of primer (1) annealed to bases 70 to 93 of the mature Hu p75 TNF-R ECD. The 3' primer which anneals to the multiple cloning site of the pVL1393, downstream of the ECD insert, contained an Asp718 restriction site.

- (1) 5' TCGGATCCCCGCCCAGGTGGCATTACACCC 3'(SEQ ID NO:3)  
30mer
- (2) 5' CGGAATTCTAGAAGGTTACCC 3' (SEQ ID NO:4) 20mer

The reaction mix consisted of 0.02mg pDNA, 1mg of each primer, 0.25mM dNTPs, 2.5mM MgCl<sub>2</sub>, 1xPCR buffer (10x buffer: 500mM KCl, 100mM Tris-HCl pH 8.3, 0.01% w/v gelatin) and 0.4 units of Taq DNA polymerase to a final volume of 50  $\mu$ l. The amplification procedure included a denaturation step, 94°C, for 2 minutes, followed by 35 cycles of 1 minute strand separation at 94°C, 1 minute annealing at 56°C, 1 minute extension at 72°C, followed by an elongation step 10 minutes at 72°C.

The extracellular domain (ECD) of the Hu p75 TNF-R ECD with its signal peptide sequence was cloned into the NcoI-XbaI sites of the vector pCITE. pCITE ECD, was derived from pCITE (Novagen) into which the Hu p75 TNF-R ECD, digested from pVL1393-Hu p75 TNF-R ECD with NcoI and XbaI, was cloned. This unit corresponds to the 5' ECD of the final dimer Hu p75 TNF-R ECD. Figure 1 illustrates

the different stages of cloning used to obtain the Hu p75 TNF-R ECD dimer. Also shown in Figure 1 are the principal restriction enzymes sites of Hu p75 TNF-R ECD.

#### Cloning of the 3' Hu p75 TNF-R ECD Into pIg16

The 3' ECD was first amplified by PCR to introduce a 3' stop codon and two  
5 unique restriction sites at either end for cloning into the plasmid pIg16 which contains a single chain Fv anti-DNA antibody cloned in it. The plasmid pIg16 (Brigido, M.M., *et al.*, *J. Immunol.*, 150:469 (1993)), derived from the pGEM-3Zf(-) vector (Promega) and containing a scFv construct was obtained from Professor David Stollar, Tufts University.

10 The PCR reaction product was phenol extracted, ethanol precipitated, resuspended and its ends blunted with Klenow fragment of DNA polymerase. The DNA was phenol extracted, ethanol precipitated, resuspended and digested with BamHI and Asp718. The 770bp product was purified by agarose gel electrophoresis, reprecipitated and ligated into the BgIII/Asp718 sites of pIg16.

15 The 3' ECD cloned into pIg16, replacing the VL domain from this construct, was named p75s. The product, p75s, was confirmed by restriction analysis and contained the Hu p75 TNF-R ECD, with a 3' stop codon, immediately downstream of the pIg16 polyglycine linker sequence (Brigido, M.M., *et al.*, *J. Immunol.*, 150:469 (1993)).

#### Construction of Dimeric Hu p75 TNF-R ECD Retroviral Vector

20 The polyglycine-serine linker and 3' ECD were removed together from p75s and cloned into pUC18 in tandem with the 5' ECD from the pCITE-ECD construct. pUC18 was obtained from Pharmacia.

p75s was digested with XbaI, the 5' overhangs filled in with Klenow and digested with Asp718. The 800bp fragment was purified by agarose gel electrophoresis,  
25 precipitated and resuspended in water.

pCITE ECD was digested with EcoRI and PvuII removing the Hu p75 TNF-R ECD with its signal peptide and CITE sequence. The 1500 bp fragment was purified by agarose gel electrophoresis, precipitated and resuspended in water. These two fragments were ligated into the EcoRI/Asp718 sites of pUC18 to produce the Hu p75 TNF-R ECD-dimer construct, TRIP-4, confirmed by restriction analysis.

The Hu p75 TNF-R ECD dimer construct was removed from the pUC18 vector and placed into the retroviral vector pBabeNeo, the clone obtained was named Oscar. TRIP-4 was digested with NcoI, the 5' overhang filled with Klenow and digested with Sall. The 1600bp fragment was purified by agarose gel electrophoresis. The fragment was ligated into the retroviral vector pBabeNeo (Morgenstern, J.P. and Land, H., *Nucleic Acids Res.*, 18:3587 (1990)) which had been digested with BamHI, blunted with Klenow, and digested with Sall. pBabeNeo contains a MuLV LTR promoter, a neomycin resistance gene under the control of an SV40 promoter and an ampicillin gene. The Hu p75 TNF-R ECD dimer was inserted into the multiple cloning site 3' to the gag gene and 5' to the SV40 promoter (Figure 2). The resulting clone, named Oscar, was confirmed by restriction analysis.

The open reading frame of the soluble Hu p75 TNF-R ECD dimer with its polyglycine-serine linker is shown in Figure 3.

#### Example 2: Transfection of GPenvAM12 Cells with the Dimeric Hu p75 TNF-R ECD Retroviral Vector

Permanent transfections were done in GPenvAM12 cells (Markowitz, D., *et al.*, *Virology*, 167:400 (1988)). Stable transfectants expressing the Hu p75 TNF-R ECD dimer were made in the cell line GPenvAm12 and G418 was used to select for permanent transfectants. These cells constitutively express the protein which is secreted into the media.

The GPenv AM12 cells were grown and maintained in DMEM medium supplemented with 10% new-born calf serum, 2.5 units/ml penicillin, 2.5 µg/ml streptomycin and 2 mM glutamine.

For stable expression of Oscar from GPenvAM12 cells (Markowitz, D., *et al.*,  
5 *Virology*, 167:400 (1988)), 20 µg of vector DNA were transfected into the cell line using the calcium-phosphate precipitation method. Transfected cells were selected and maintained in medium with 1mg/ml G418. G418 resistant cell clones were pooled and tested for expression of Hu p75 TNF-R ECD dimer by ELISA, Western and inhibition of the TNF cytotoxicity assay on WEHI cells.

10 To collect the secreted dimer from the supernatant of the stable transfected cell line, cells were grown to 80-100% confluence in the presence of 0.5 mg/ml G418. The media was removed and the cells washed twice in serum-free media. Fresh serum-free media was added to the cells, without G418, and the supernatants and cells harvested after 48 hours. Supernatants were stored at -70°C until used.

#### 15 ELISA Assay

Concentrations of Hu p75 TNF-R ECD, produced by transfected GPenvAm12 cells, were determined by ELISA. The monoclonal antibody 4C8 (Dr. Buurman, Maastricht, The Netherlands) was used as trapping antibody and the ELISA assay performed as described (Bemelmans, M.H.A., *et al.*, *Cytokine*, 6:608 (1994)). A  
20 titration curve was prepared with a standard Hu p75 TNF-R ECD diluted 1:1 in PBS, 0.1% BSA at concentrations ranging from 62 pg/ml to 5 ng/ml. The amounts secreted averaged 560 pg/ml (3400 pg/plate) and were too low for immediate detection by Western blot analysis.

### Western Blot

The serum-free medium from the GPenVAM12 cells was concentrated by centrifugation using Amicon Centricon 30 concentrators. The concentration of the soluble TNF inhibitors were determined by ELISA.

- 5        9% SDS-PAGE were run to standard western protocol and probed using the monoclonal antibody 4C8 to the Hu TNF-R75 ECD and a polyclonal anti mouse secondary antibody crosslinked with horseradish peroxidase. Westerns were developed using the ECL detection system (Amersham).

- 10        Each slot contained from left to right: 0.5 ng of dimeric Hu p75 TNF-R ECD, GPenVAM12 control supernatants 1 and 2, 1 µg soluble p75 sf2 Ig dimer (Butler, D., *et al.*, *Cytokine*, 6:616 (1994)) and 8.7 µg soluble hs p75 TNF-R CRIP monomer. These were separated on a 9% acrylamide gel, electroblotted onto nitrocellulose, probed with 4C8 monoclonal antibodies and HRP-linked secondary antibodies and developed using the ECL system.

- 15        After concentration of the supernatants to 20 ng/ml, the Hu p75 TNF-R ECD dimer was clearly detected in the supernatant of Oscar stable transfectants as a band of apparent molecular weight of 59 kDa (Figure 4, left lane). The arrow indicates the sTNF-R dimer with apparent molecular weight of 59 kDa. The positions of molecular weight markers are indicated on the right. The band, detected by the monoclonal  
20        antibody 4C8 was not present in the GPenVAm12 untransfected cell supernatants. The expected molecular weight of the dimer was 53 kDa although there are four potential N-linked glycosylation sites within the Hu p75 TNF-R ECD protein (Figure 3). This glycosylation sites may explain the increase in apparent molecular weight.

- 25        The Hu p75 TNF-R ECD dimer protein seems to be stable to proteolytic degradation since no smaller products were detected especially when compared to the Ig- fusion protein p75 sf2 (Figure 4). The smaller difference seen between the monomeric 40 kDa (Figure 4, right lane) and the dimeric 59 kDa dimeric Hu p75 TNF-

R ECD (Figure 4, left lane) is probably due to secondary structure obtained by the presence of the polyglycine-serine linker.

Example 3: Protection from TNF Cytotoxicity on WEHI cells by Hu p75 TNF-R ECD construct

- 5 WEHI Assay. The concentrated supernatants were tested for protection against TNF cytotoxicity in the WEHI cell assay. To measure the inhibitory effect of the expressed Hu p75 TNF-R ECD dimer on TNF cytotoxic activity, WEHI 164 clone 13 mouse fibrosarcoma cells were used (Espevic, T., and Nissen-Meyer, J., *J. Immunol. Methods*, 95:99 (1986)).
- 10 Figure 5 shows the protective effect obtained in this assay when TNF was preincubated with dilutions of various Hu p75 TNF-R ECD proteins. However, the two dimeric Hu p75 TNF-R ECD constructs namely p75 sf2 and Oscar efficiently protected WEHI cells from TNF cytotoxicity. Table 1 shows that 20 pg dimeric Hu TNF-R75 ECD were sufficient to inhibit by 50% the killing activity of 63.5 pg of human TNF. In
- 15 comparison, 57 pg of the dimeric Hu p75 TNF-R ECD in an Ig backbone (p75 sf2) (Butler, D., *et al.*, *Cytokine*, 6:616 (1994)) were needed to obtain the same level of protection. This lower than expected activity of the p75 sf2 construct may be due to the partial degradation in this protein (Figure 4) that affected its efficiency. The monomeric Hu TNF-R75 ECD at 300 fold higher concentration was not effective at blocking TNF
- 20 cytotoxicity in the WEHI assay (Figure 5). The cell line CRIP producing monomeric Hu p75 TNF-R ECD, was provided by Dr. Paul Robbins, University of Pittsburgh.

The concentration of 20 pg/ml Hu p75 TNF-R ECD dimer needed to inhibit by 50% the cytotoxic effect of 62.5 pg/ml TNF indicates that this antagonist is capable of binding to the TNF homotrimer in a stoichiometric ratio of almost 1:1.



Table 1  
Specific activity of Hu p75 TNF-R ECD dimer

	Mr (kD)	50% protection
<b>OSCAR</b> (Hu p75 TNF-R ECD dimer)	59,000	20 pg/ml
<b>hs p75 TNF-R CRIP</b> (Hu p75 TNF-R ECD monomer)	40,000	N/A
<b>IgG-ECD</b> (Hu p75 TNF-R ECD dimer on Ig)	150,000	57 pg/ml

EQUIVALENTS

Those skilled in the art will recognize, or be able to ascertain using no more than  
5 routine experimentation, many equivalents to the specific embodiments of the invention  
described specifically herein. Such equivalents are intended to be encompassed in the  
scope of the following claims.

## CLAIMS

We claim:

1. A receptor molecule which binds to tumor necrosis factor comprising all or a  
5 functional portion of two or more extracellular domains of tumor necrosis factor  
receptors linked via one or more polypeptide linkers.
2. The receptor molecule of Claim 1 wherein the receptors are selected from the  
group consisting of: the extracellular domain of a p75 tumor necrosis factor  
10 receptor and the extracellular domain of a p55 tumor necrosis factor receptor or  
functional portions thereof.
3. The receptor molecule of Claim 1 further comprising a signal peptide of a  
secreted protein.
- 15 4. The receptor molecule of Claim 3 wherein the signal peptide is selected from  
group consisting of: the extracellular domain of the tumor necrosis factor  
receptor and a cytokine.
- 20 5. The receptor molecule of Claim 2 wherein the two or more tumor necrosis factor  
receptors are human.
6. The receptor molecule of Claim 5 wherein the two or more extracellular  
domains of the tumor necrosis factor receptors are the same.
- 25 7. The receptor molecule of Claim 1 wherein the polypeptide linker is a  
polyglycine-serine linker.

8. Isolated DNA encoding a receptor molecule which binds to tumor necrosis factor, comprising two or more sequences encoding all or a functional portion of the extracellular domain of tumor necrosis factor receptors linked via one or more sequences encoding a polypeptide linker.
- 5
9. The DNA of Claim 8 wherein the sequences encoding all or a functional portion of the extracellular domains of the tumor necrosis factor receptors are selected from the group consisting of: the sequence encoding the extracellular domain of the p75 tumor necrosis factor receptor and the sequence encoding the
- 10 extracellular domain of the p55 tumor necrosis factor receptor or functional portions thereof.
10. The DNA of Claim 8 further comprising a sequence encoding a signal peptide of a secreted protein.
- 15
11. The DNA of Claim 10 wherein the sequence encoding the signal peptide is selected from the group consisting of: the sequence that encodes the signal peptide of the extracellular domain of tumor necrosis factor receptor and the sequence that encodes the signal peptide of a cytokine.
- 20
12. The DNA of Claim 9 wherein the sequences encoding two or more tumor necrosis factor receptors are human tumor necrosis factor receptor.
- 25
13. The DNA of Claim 8 wherein the sequence encoding a polypeptide linker encodes a polyglycine-serine linker.
14. The DNA of Claim 8 comprising SEQ ID NO: 1.

15. A method of making a construct which expresses all or a functional portion of the extracellular domain of two or more tumor necrosis factor receptors linked via one or more polypeptide linkers comprising the steps of:
- 5 a) obtaining a first vector which expresses all or a functional portion of an extracellular domain of a first tumor necrosis factor receptor and a signal peptide of a secreted protein;
- b) obtaining a second vector which expresses all or a functional portion of an extracellular domain of a second tumor necrosis factor receptor; and
- 10 c) ligating the first vector of (a) to the second vector of (b) via a polypeptide linker
- so that the first vector of (a) is linked to the second vector of (b) via the polypeptide linker resulting in a construct which expresses all or a functional portion of the extracellular domain of the first tumor necrosis factor receptor and all or a portion of the extracellular domain of the second tumor necrosis factor
- 15 receptor linked via the polypeptide linker.
16. The method of Claim 15 further comprising the step of obtaining one or more vectors which expresses a second polypeptide linker and all or a functional portion of an extracellular domain of a third tumor necrosis factor receptor,
- 20 wherein the extracellular domain of the third tumor necrosis factor receptor is linked to the extracellular domain of the second tumor necrosis factor receptor via the second polypeptide linker.
17. Cells which express a receptor molecule which binds to tumor necrosis factor
- 25 comprising all or a functional portion of the extracellular domain of two or more tumor necrosis factor receptors linked via one or more polypeptide linkers.

18. A receptor molecule which binds to a cytokine comprising all or a functional portion of the extracellular domain of two or more receptors of the cytokine linked via one or more polypeptide linkers.
- 5 19. A method of inhibiting the biological activity of tumor necrosis factor comprising administering to a host an effective amount of a receptor molecule which binds to tumor necrosis factor, the receptor comprising all or a functional portion of the extracellular domain of two or more tumor necrosis factor receptors linked via one or more polypeptide linkers.
- 10 20. A method of treating or preventing a tumor necrosis factor related disease in a host in need thereof comprising administering to the host an effective amount of a receptor molecule which binds to tumor necrosis factor, the receptor comprising all or a functional portion of the extracellular domain of two or more tumor necrosis factor receptors linked via one or more polypeptide linkers.
- 15 21. A method of Claim 20, wherein the tumor necrosis factor related disease is selected from the group consisting of: an autoimmune disease, an inflammatory bowel disease, a bacterial infection, a viral infection, a parasitic infection, a malignancy, and a neurodegenerative disease.
- 20 22. A method of Claim 21 wherein the TNF related disease is selected from the group consisting of: rheumatoid arthritis, septic shock, cerebral malaria, inflammatory bowel disease, multiple sclerosis, allograft rejection, host versus graft disease, neoplastic pathology and endotoxemic response.
- 25 23. A method of Claim 20 wherein the tumor necrosis factor related disease is rheumatoid arthritis.

## SMALL MOLECULAR WEIGHT TNF RECEPTOR MULTIMERIC MOLECULE

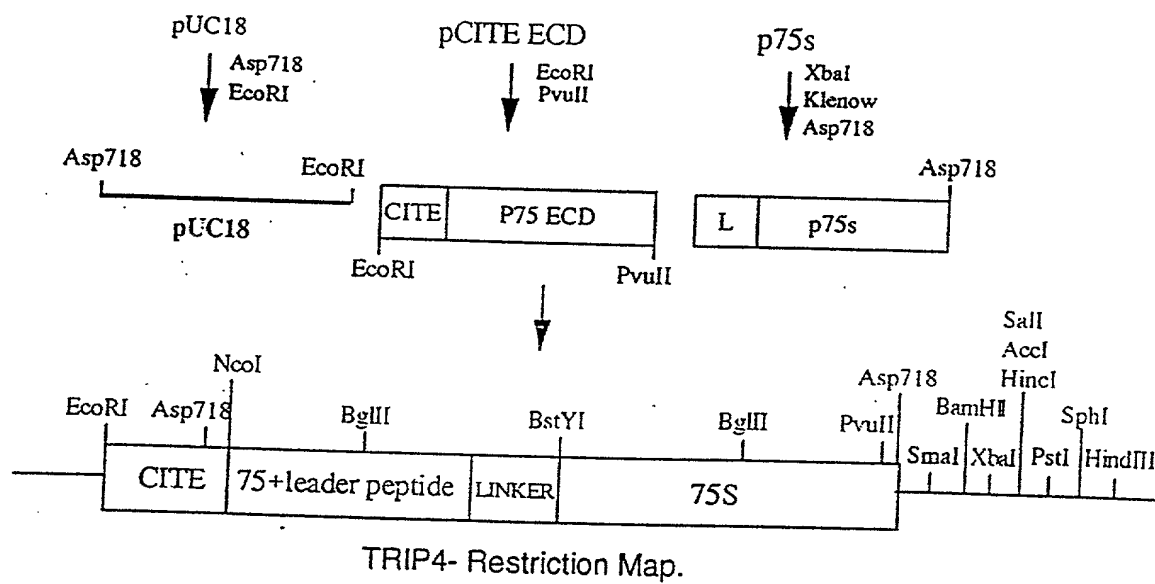
ABSTRACT OF THE INVENTION

5

The present invention relates to a receptor molecule which binds to TNF comprising all or a functional portion of the extracellular domain (ECD) of two or more TNF-Rs linked via one or more polypeptide linkers. The receptor can further comprise a signal peptide of a secreted protein, such as the signal peptide of the extracellular domain of the TNF-R or the signal peptide of a cytokine. The invention also relates to isolated DNA encoding a receptor molecule which binds to TNF, comprising two or more sequences encoding all or a functional portion of the ECD of TNF-Rs linked via one or more sequences encoding a polypeptide linker. The invention further relates to a method of making a construct which expresses all or a functional portion of the ECD of two or more TNF-Rs linked via one or more polypeptide linkers and cells which express the construct. The invention also relates to a method of inhibiting the biological activity of TNF in a host comprising administering to the host an effective amount of a receptor molecule of the present invention. The invention further relates to receptor molecules which bind cytokines that bind to receptor molecules comprising more than one subunit.

20

# CLONING OF Hu p75 TNF-R ECD dimer INTO pUC18.



**FIG. 1**

CLONING OF Hu p75 TNF-R ECD dimer INTO THE RETROVIRAL VECTOR  
pBabeNeo.

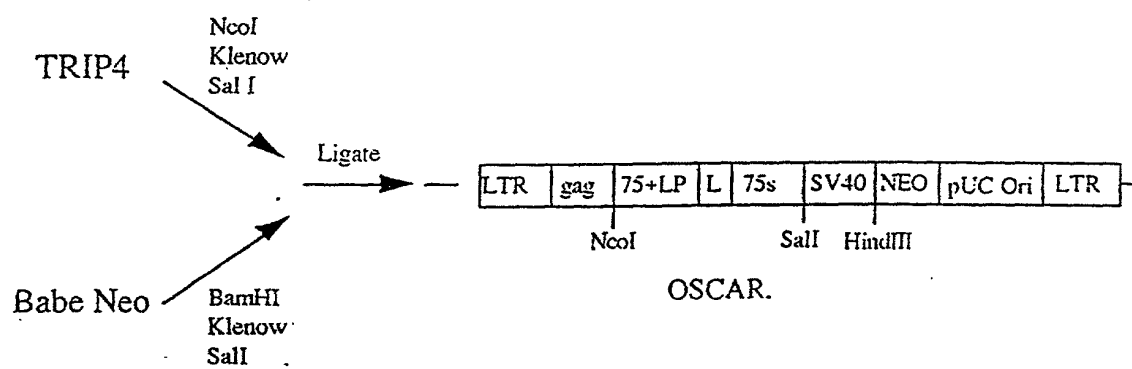


FIG. 2



# TNF-R dimer OSCAR Sequence

10										20										30										40										50																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
133	456	789	012	345	678	901	234	567	890	123	456	789	012	345	678	901	234	567	890																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													

200  
97.4  
66  
46

←

Hup75TNF-R ECD dimer  
GP env AM 12 SUP 1  
GP env AM 12 SUP 2  
p75 s12  
Hup75TNF-R ECD monomer

1199

FIG. 5A

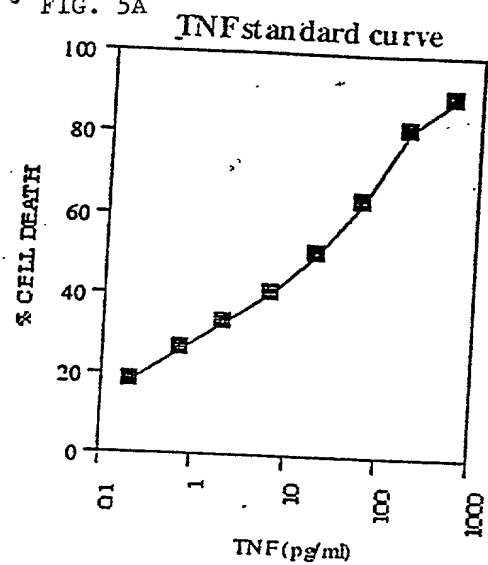


FIG. 5B

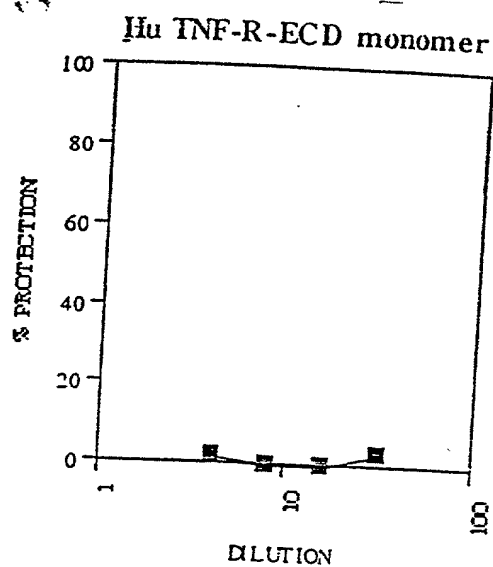


FIG. 5C

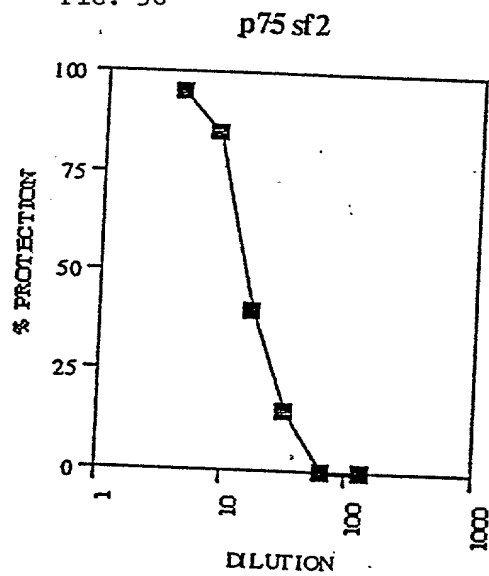
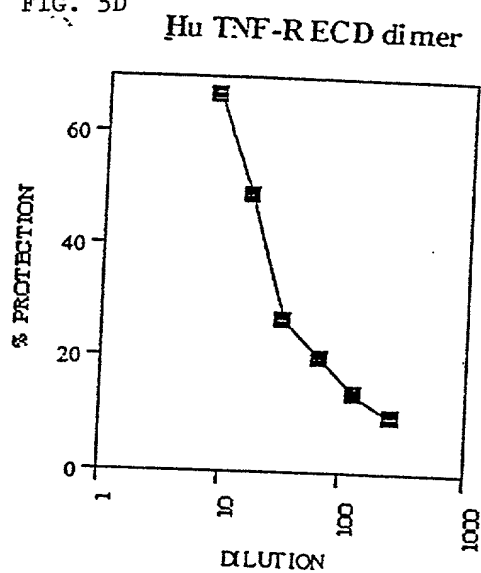


FIG. 5D



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY FOR CONTINUING  
APPLICATIONDeclaration for Patent Application

As a named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 3 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SMALL MOLECULAR WEIGHT TNF RECEPTOR MULTIMERIC MOLECULE

the specification of which (check one)

☐ is attached hereto.

☒ was filed on May 9, 1995 as  
Application Serial No. 08/437,533  
and was amended on \_\_\_\_\_ (if  
applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

			Priority Claimed	
(Number)	(Country)	(Day/Month/Year filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No

COPY FOR CONTINUING

I hereby claim the benefit under Title 35, United States Code, APPLICATION §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information known by me to be material to patentability as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

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(Application Serial No.)	(Filing date)	(Status, patented, pending, abandoned)
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(Application Serial No.)	(Filing date)	(Status, patented, pending, abandoned)
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As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

I also hereby grant additional Powers of Attorney to the following attorney(s) and/or agent(s) to file and prosecute an international application under the Patent Cooperation Treaty based upon the above-identified application, including a power to meet all designated office requirements for designated states.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

COPY FOR CONTINUING APPLICATION

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Inventor's  
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Full name of second joint  
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Signature Richard Neve Date 21-JUNE 1995  
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inventor, if any Marc Feldmann  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration for Patent Application

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APPLICATION

As a named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 3 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SMALL MOLECULAR WEIGHT TNF RECEPTOR MULTIMERIC MOLECULE

the specification of which (check one)

☐ is attached hereto.

☒ was filed on May 9, 1995 as Application Serial No. 08/437,533 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

			Priority Claimed	
(Number)	(Country)	(Day/Month/Year filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information known by me to be material to patentability as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

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(Application Serial No.)	(Filing date)	(Status, patented, pending, abandoned)
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(Application Serial No.)	(Filing date)	(Status, patented, pending, abandoned)
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As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

I also hereby grant additional Powers of Attorney to the following attorney(s) and/or agent(s) to file and prosecute an international application under the Patent Cooperation Treaty based upon the above-identified application, including a power to meet all designated office requirements for designated states.

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Leo R. Reynolds	Registration No. 20,884
Richard A. Wise	Registration No. 18,041
Patricia Granahan	Registration No. 32,227
Mary Lou Wakimura	Registration No. 31,804
Thomas O. Hoover	Registration No. 32,470
Alice O. Carroll	Registration No. 33,542
N. Scott Pierce	Registration No. 34,900

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and

---

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---



COPY FOR CONTINUING  
APPLICATION

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's  
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<p>Date: <u>April 2, 1999</u></p> <p>EXPRESS MAIL LABEL NO. <u>E1192626414US</u></p>
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yuti Chernajovsky, Richard Neve and Marc Feldmann

Continuation of: 08/437,533

Filed: May 9, 1995

Title: Small Molecular Weight TNF Receptor Multimeric Molecule

TRANSMITTAL OF SEQUENCE LISTING IN COMPUTER READABLE FORM

IN COMPLIANCE WITH 37 C.F.R. §§1.821(e) AND (f)

Box Sequence  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f), Applicants' Attorney hereby states that the content of the "Sequence Listing" in paper form and of the computer readable form of the "Sequence Listing" are the same.

Respectfully submitted,

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Date: April 2, 1999

## SEQUENCE LISTING

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Neve, Richard  
Feldmann, Marc

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